

The Nagoya Protocol on Access to Genetic Resources and Benefit Sharing Taukondjo Shikongo

Secretariat of the Convention on Biological Diversity







Presentation Overview

- Indigenous Knowledge Systems
- The Convention on Biological Diversity (CBD)
- Nagoya Protocol
- The global Biodiversity Framework
- Goal C
- Target 13
- Conclusions

Different world views –value perspective

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Living of nature – anthropocentric– prosperity– lack

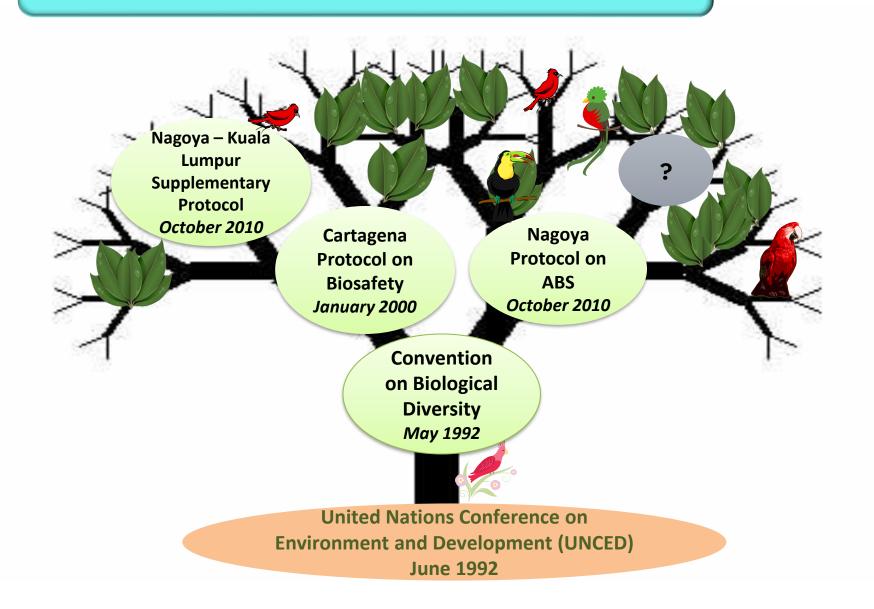
Living with nature – bio–ecocentric – stewardship– abundance

Living in nature – anthropocentric – belonging

Living as nature – plurocentric – cosmocentric – living in

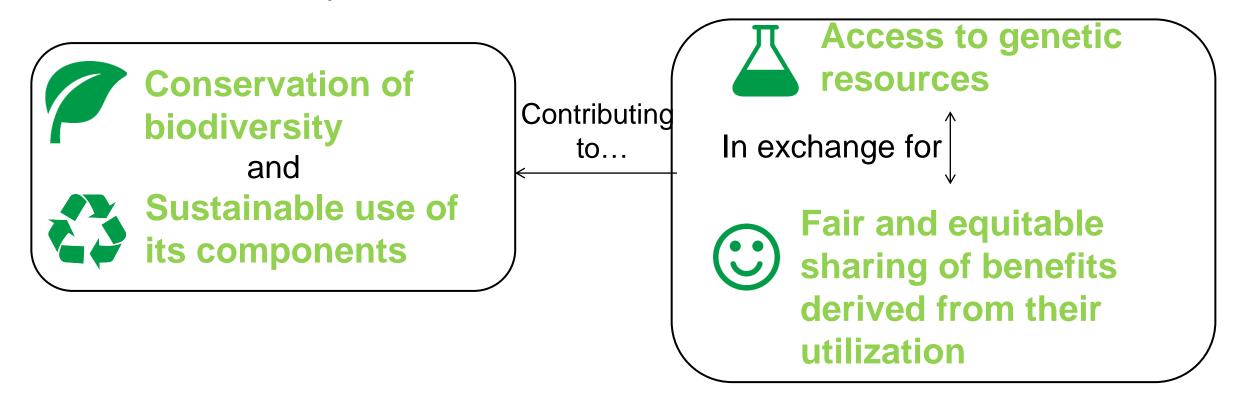
harmony
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The CBD tree



Access to genetic resources & benefit-sharing in the Convention

The three objectives of the Convention create an equity relationship:



WHY rules on access and benefit-sharing?

Providers

Genetic resources

Open access

Needs

Equity

Users

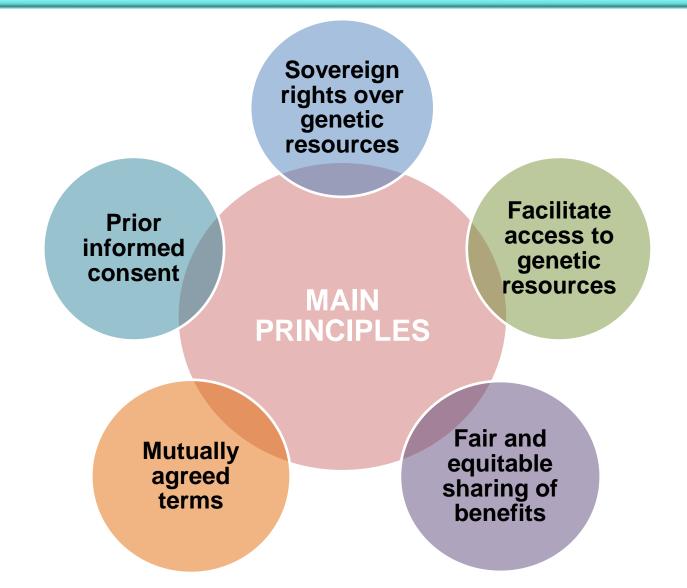
Biotechnology

IPR

Benefits

Loss of biological diversity

CBD on access (Article 15)



CBD on benefit-sharing (Arts. 15, 8, 16, 19)

Main Requirements

Research and development results

Commercial or other benefits derived from use (Article 15(7))

Participation in biotechnological research on the genetic resources (Article 19(1))

(Article 15(7))

Priority access to results and benefits arising from biotechnologic al use (Article 19(2))

Access to and transfer of

> Traditional knowledge associated with genetic resources (Article 8(j))

Why a Protocol on ABS?



CBD provisions not fully implemented

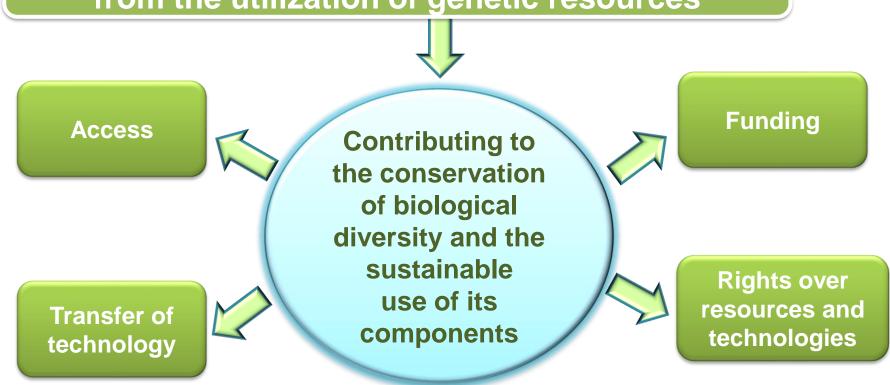
Cases of misappropriation of genetic resources and associated traditional knowledge

Need for legal certainty and transparency

- ✓ For providers: Concern to ensure benefit-sharing once genetic resources have left the provider countries
- ✓ For users: Need for clear procedures when accessing genetic resources

The Nagoya Protocol - Objective

Fair and equitable sharing of the benefits arising from the utilization of genetic resources



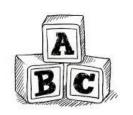
The Nagoya Protocol - Scope

GENETIC RESOURCES

TRADITIONAL KNOWLEDGE



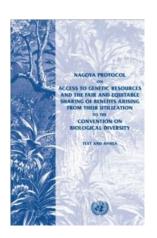
Three pillars of the Nagoya Protocol



Access – Users seeking access to genetic

resources must:

Get **permission** from the provider country (known as prior informed consent, PIC), unless otherwise determined by that Party



Benefit-sharing – provider and user must:

Negotiate an agreement to share benefits resulting from the use of a genetic resource (known as mutually agreed terms, MAT)

Compliance – Protocol creates obligations to:

- Comply with national ABS legislation and mutually agreed terms
- Monitor the utilization of genetic resources

Access to genetic resources

Sovereign rights over natural resources

Domestic ABS legislation or regulatory requirements

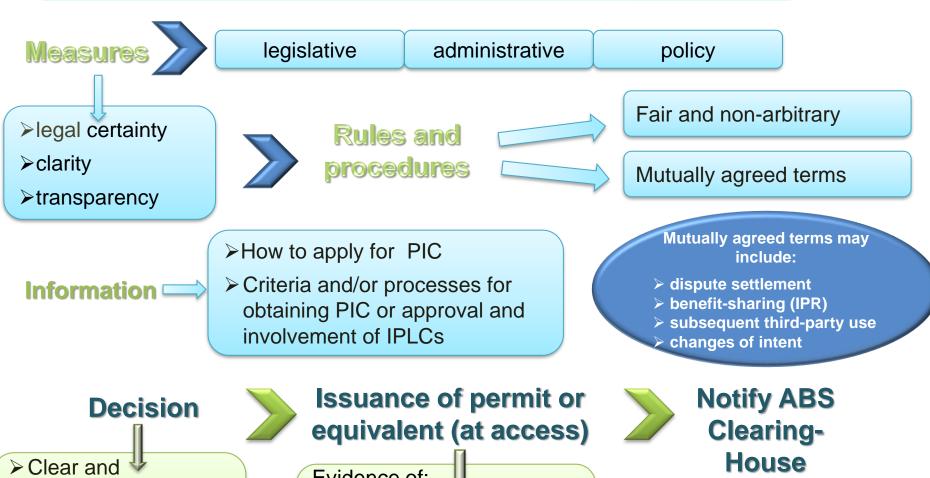
Prior informed consent (PIC) of the providing Party

The country of origin

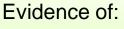
A Party that has acquired the resources in accordance with the CBD

Ensure PIC or approval and involvement of IPLCs is obtained

Requiring Prior Informed Consent (PIC)?



Clear and transparent written decision by competent national authority



- decision to grant PIC
- establishment mutually agreed terms

Benefit-sharing

Sources of benefit

- utilization of genetic resources
- > subsequent applications
- commercialization

Types of benefit



Non-monetary

To be shared with the Party providing the resources

The country of origin

A Party that has acquired the resources in accordance with the CBD

Legislative, administrative or policy measures

Mutually agreed terms

Compliance

With domestic legislation or regulatory requirements on ABS



With mutually agreed terms (MAT)

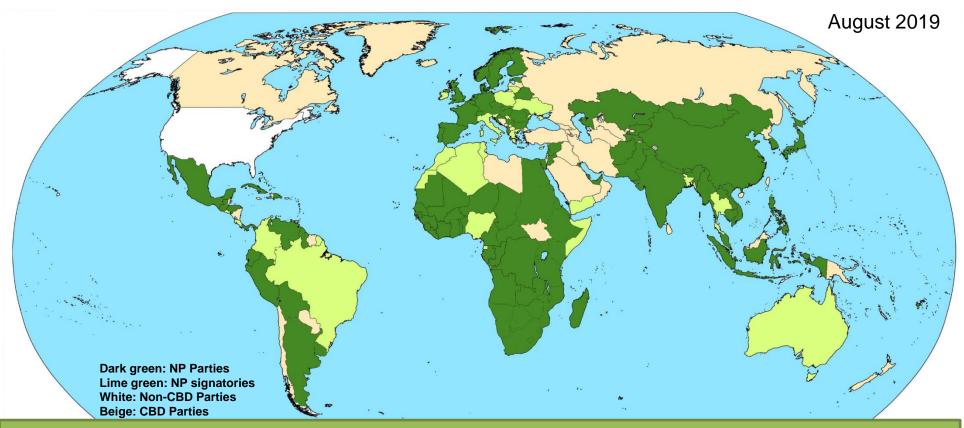
- Encourage inclusion of provisions to cover dispute resolution
- Ensure opportunity to seek recourse
- Take effective measures regarding:
 - -access to justice
 - -utilization of mechanisms regarding mutual recognition and enforcement of foreign judgments and arbitral awards



With the provisions of the Protocol

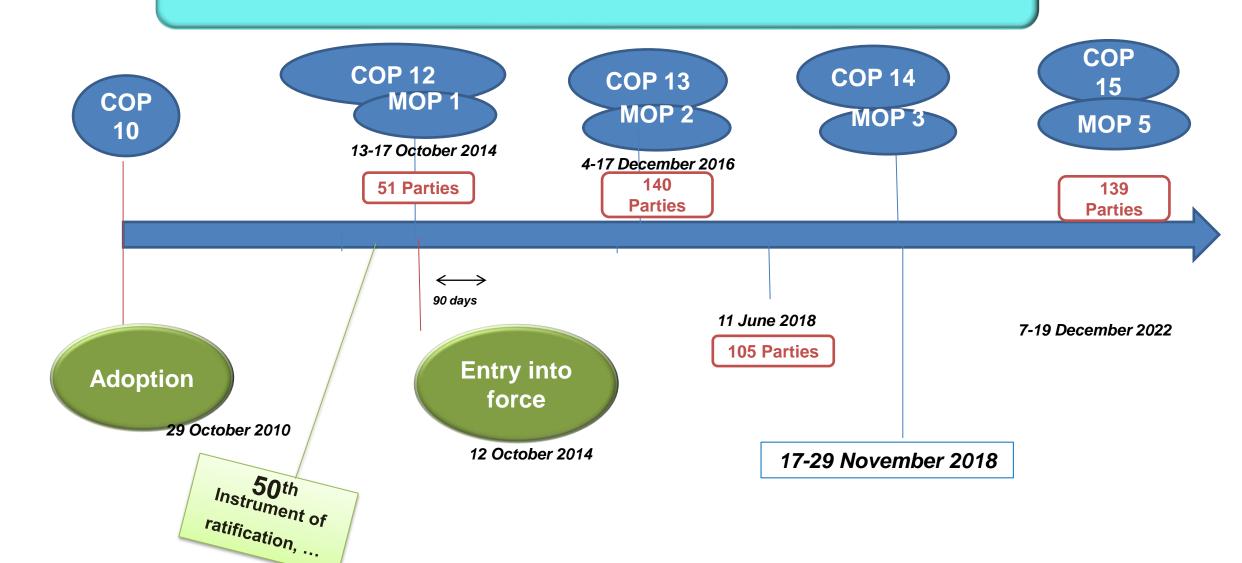


140 Ratifications/Accessions to the Nagoya Protocol



Afghanistan, Albania, Angola, Antigua and Barbuda, Argentina, Austria, Belarus, Belgium, Benin, Bhutan, Bolivia, Botswana, Bulgaria, Burkina Faso, Burundi, Cambodia, Cameroon, Central African Republic, Chad, China, Comoros, Congo, Côte D'Ivoire, Croatia, Cuba, Czech Republic, Democratic Republic of the Congo, Denmark, Djibouti, Dominican Republic, Ecuador, Egypt, Eritrea, Estonia, Eswatini (Kingdom of), Ethiopia, European Union, Fiji, Finland, France, Gabon, Gambia, Germany, Ghana, Guatemala, Guinea, Guinea Bissau, Guyana, Honduras, Hungary, India, Indonesia, Japan, Jordan, Kazakhstan, Kenya, Kuwait, Kyrgyzstan, Lao PDR, Lebanon, Lesotho, Liberia, Luxembourg, Madagascar, Malawi, Malaysia, Maldives, Mali, Malta, Marshall Islands, Mauritania, Mauritius, Mexico, the Federated States of Micronesia, Mongolia, Mozambique, Myanmar, Namibia, Nepal, Netherlands, Niger, Norway, Pakistan, Palau, Panama, Peru, Philippines, Portugal, Qatar, Republic of Korea, Republic of Moldova, Romania, Rwanda, Saint Kitts and Nevis, Samoa, Sao Tome and Principe, Senegal, Serbia, Seychelles, Sierra Leone, Slovakia, South Africa, Spain, Sudan, Sweden, Switzerland, the Syrian Arab Republic, Tajikistan, Togo, Tuvalu, Uganda, United Arab Emirates, United Kingdom of Great Britain and Northern Ireland, United Republic of Tanzania, Uruguay, Vanuatu, Venezuela (Bolivarian Republic of), Viet Nam, Zambia and Zimbabwe

NAGOYA PROTOCOL: PROCESS AND STATUS



COP15 Major Outcomes

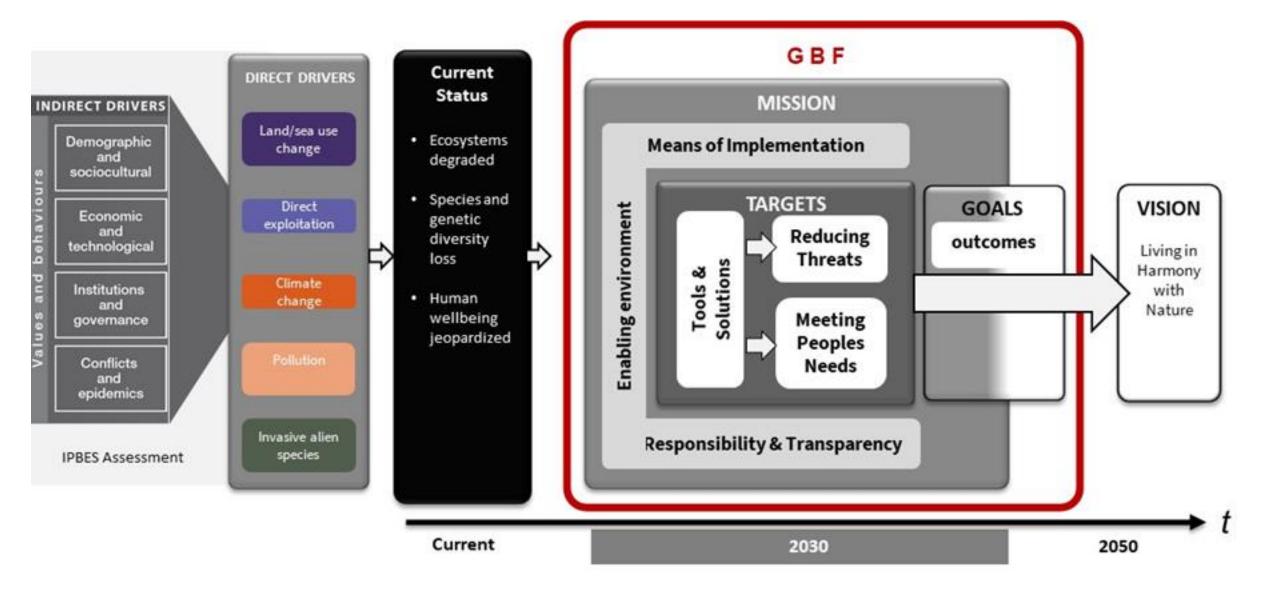


Kunming-Montreal GBF (decision 15/4) GBF Monitoring framework (decision Mechanisms for planning, monitoring, reporting and review (decision 15/6)

Resource mobilization (decision 15/7) Capacitybuilding and
development
& technical
and scientific
cooperation
(decision 15/8)

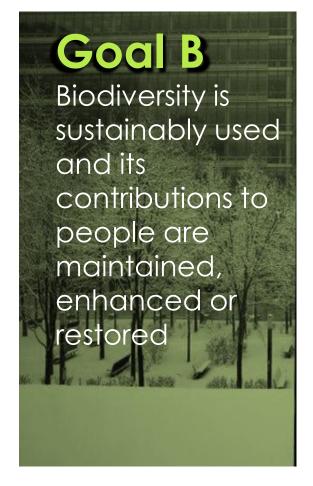
Digital sequence information on genetic resources (decision 15/9)

The Kunming-Montreal Global Biodiversity Framework



The Kunming-Montreal Global Biodiversity Framework

Goal A Ecosystems maintained, enhanced, or restored, extinctions are halted, extinction rate reduced tenfold and genetic diversity is maintained







2050

Kunming-Montreal Global Biodiversity Framework

Goal A

Ecosystems
maintained,
enhanced, or
restored, extinctions
are halted, extinction
rate reduced tenfold
and genetic diversity is
maintained

Goal B

Biodiversity is sustainably used and its contributions to people are maintained, enhanced or restored

Goal C

Benefits from the use of genetic resources are shared and sustainably increased

Goal D

The biodiversity funding gap of 700 billion USD is closed by ensuring adequate means of implementation are available.

2030 Targets

	Reducing Threats to Biodiversity		Meeting people's need through sustainable use and benefit sharing		Tools and solutions for implementation and mainstreaming	
	Target 1	All areas spatially planned or managed	Target 9	Management and use of wild species are sustainable	Target 14	Multiple values of biodiversity are integrated into decision making processes
	Target 2	30% of degraded areas under effective restoration	Target 10	Areas under agriculture, aquaculture, fisheries and forestry are	Target 15	Businesses disclose biodiversity dependencies, impacts and risks, and reduce negative impacts
	Target 3	30% of areas effectively conserved	Target 11 Target 12 Target 13	managed sustainably Nature's contributions to people are restored maintained and enhanced Area of green and blue spaces is increased and enhanced Effective measures are in place for the fair and equitable sharing of benefits that arise from the utilization of genetic resources and DSI	Target 16	Sustainable consumption choices are enabled and overconsumption and waste are reduced
	Target 4	Extinction halted, species recovered, genetic diversity			Target 17	Biosafety measures are strengthened, and the benefits of biotechnology are distributed
	Target 5	maintained Use, harvesting, and trade of			Target 18	Harmful incentives are eliminated, phased out or reformed and positive incentives are scaled up
	Target 6	wild species is sustainable Invasive alien species introduction and establishment halved			Target 19	\$200 billion USD mobilized annually to implement NBSAPs
	Target 7	Pollution reduced, i.a. halving nutrient loss and pesticide risk			Target 20	Capacity building, technology transfer and cooperation for implementation is strengthened
	Target 8	Impacts of climate change minimized and resiliency			Target 21	Data, information and knowledge, including traditional knowledge, for decision making is available
		increased			Target 22	Decision making is participatory, inclusive, representative and gender responsive and rights are respected
					Target 23	Implementation follows a gender- responsive approach

Goal 13 Unpacked

1 Monetary and Non-Monetary benefits arising from

- Utilization of Gr
- Utilization of DSI
- Utilization of TK associated with GR

2. Are shared

Fairly and equitable between providers and users with a special focus on IPLC

•3. To ensure that

- Benefits are substantially increased by 2050
- Ensuring that TK is appropriately protected
- Benefits contributes to conservation and use of biodiversity
- 4. Above to be achieved by means of regulation through internationally agreed benefitsharing instruments

Target

Take effective:

- legal, policy, administrative and capacity-building measures at all levels, as appropriate,
- to ensure the fair and equitable sharing of benefits that:
 - arise from the utilization of genetic resources and
 - from digital sequence information on genetic resources,
 - as well as traditional knowledge associated with genetic resources,
- and
- facilitating appropriate access to genetic resources, and
 - by 2030 facilitating a <u>significant</u> increase of the benefits shared.





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Assessment and review of the Protocol Priorities for further work (NP-3/1)

- Develop ABS legislation and regulatory requirements
- Enhance implementation by Parties
 - Of the provisions on compliance with domestic ABS legislation
 - Monitoring the utilization of genetic resources, including the designation of checkpoints
 - Of provisions related to IPLCs (Article 5, 6, 7 and 12)
- Support the full and effective participation of IPLCs by raising their awareness and capacity on ABS and supporting the development of community protocols, MAT and model contractual clauses
- Raise awareness among relevant stakeholders and encourage

Assessment and review of the Protocol Support from relevant organizations (NP-3/1)

- Expand efforts to build the capacity of developing country Parties to implement the Nagoya Protocol taking into account
 - Priority areas and key findings of the assessment and review
 - Need to strengthen institutional capacities
 - Needs and priorities of IPLCs
- Publish information on CB initiatives and resources on the ABS-CH
- Consider regional approaches to support harmonized implementation
- Facilitate sharing of information and experiences related to transboundary cooperation
- Support strategic communication to enhance awareness about the Protocol
- Build capacity of Parties and IPLCs for the negotiation of MAT and to promote partnerships and technology transfer between users and